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DOCKET NO.: E0295.70155US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Steven M. Blumenau et al.

Serial No.:

09/748,053

Confirmation No.:

4482

Filed:

December 22, 2000

For:

METHOD AND APPARATUS FOR PREVENTING UNAUTHORIZED

ACCESS BY A NETWORK DEVICE

Examiner:

Ho, Thomas M.

Art Unit:

2134

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

Patricia L. Marchetti

MAIL STOP AMENDMENT

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- Information Disclosure Statement, Form PTO-1449 and References Cited
- Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 646-8000, Boston, Massachusetts.

A check in the amount of \$180.00 is enclosed to cover the filing fee. If the fee is insufficient, the balance may be charged to Deposit Account 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

Steven M. Blumenau et al., Applicant

By:

Richard F. Glunta, Reg. No.: 36,149 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

Telephone: (617) 646-8000

Docket No.: E0295.70155US00 Date: September 7___, 2005

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The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 7th day of September, 2005.

atricia L. Marchetti

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in this application.

The fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed.

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Serial No.: 09/748,053 -2- Art Unit: 2134

Conf. No.: 4482

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The Applicant would like to bring to the Examiner's attention the enclosed search report from a corresponding International or Foreign National Application.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

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Conf. No.: 4482

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted, Steven M. Blumenau et at., Applicant

By:

Richard F. Giunta, Reg. No. 36,149 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

Telephone: (617) 646-8000

Date: September 7, 2005 xNDDx

Docket No.: E0295.70155US00

FORM PTO-1449/A and B (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

of

Sheet

2

APPLICATION NO.: 09/748,053

ATTY. DOCKET NO.: E0295.70155US00

FILING DATE: December 22, 2000

CONFIRMATION NO.: 4482

APPLICANT:

Steven M. Blumenau et at.

GROUP ART UNIT: 2134

EXAMINER: Ho, Thomas M.

U.S. PATENT DOCUMENTS

Examiner's	Cite	Cite U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY	
nitials No.		Number	Kind Code			
		4,280,176		Tan	07-21-1981	
		4,672,572		Alsberg	06-09-1987	
		4,919,545		Yu	04-24-1990	
		5,235,642		Wobber et al.	08-10-1993	
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		6,041,346		Chen et al.	03-21-2000	
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		6,061,726	-	Cook et al.	05-09-2000	
		6,061,753		Ericson	05-09-2000	
		6,105,027		Schneider et al.	08-15-2000	
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		6,263,445	B1	Blumenau	07-17-2001	
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		6,526,489	BI	Kikuchi et al.	02-25-2003	

*APPLICATION NO.: 09/748,053 ATTY. DOCKET NO.: E0295.70155	US00		
FORM PTO-1449/A and B (Modified) FILING DATE: December 22, 2000 CONFIRMATION NO.: 4482	_		
STATEMENT BY APPLICANT APPLICANT: Steven M. Blumenau et at.	APPLICANT: Steven M. Blumenau et at.		
GROUP ART UNIT: 2134 EXAMINER: Ho, Thomas M.			
Sheet 2 of 2			

FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document		ment	Name of Patentee or Applicant of Cited	Date of Publication of	Translation
		Office/ Country	Number	Kind Code	Document (not necessary)	Cited Document MM-DD-YYYY	(Y/N)
		GB	1 601 956	Ĭ	Marconi Co. Ltd.	11-04-1981	
		JP	4-64985		Sharp Corp.	02-28-1992	Y
		GB	2 262 633	Α	Uken (Research & Development) Limited	06-23-1993	
		JР	5-181609		NEC Corporation	07-23-1993	Y
		EP	0 580 350	A1	Digital Equipment Corporation	01-26-1994	
		wo	95/13583		Kenneth H. Conner	05-18-1995	
		JР	7-325785		Fujitsu Ltd.	12-12-1995	Y
		EP	0 932 096	A2	Data General Corporation	07-28-1999	

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	i irangiation	
		Patent Cooperation Treaty International Search Report		
		Translation of Official Action of the German Patent and Trademark Office		
		Sutton et al., "Processors Sharing and Partitioning of Main Storage in the MP System", IBM		
		Technical Disclosure Bulletin, vol. 22, no. 5, October 1979 (1970-10), pages 2009-2010.		

EXAMINER:	DATE CONSIDERED:

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

[NOTE - The Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003. See 37 CFR 1.491(b). For all patent applications filed on or before June 30, 2003, copies of cited U.S. patents and patent application publications are still required unless an eIDS is filed. Copies of all other patent(s), publication(s), or other information listed must still be provided, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

^{*}a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. ___, filed ___, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).